PTO/SB/21 (04-04)
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TRANSMITTAL FORM			Application Number	10/612,650			
			Filing Date	July 12, 2003			
			First Named Inventor	Sreenivasulu Megati			
(to be used for all correspondence after initial filing)		Art Unit	1625				
			Examiner Name	Zinna Northington Davis			
Total Number of Pages	s in This Submission		Attorney Docket Number	WYTH0015-100 (AM100961)			
		ENCLO	SURES (check all that apply)				
Fee Transmittal F	om	☐ Drawin	g(s)	After Allowance Communication to Technology Center (TC)			
Fee Attached		Licensi	ing-related Papers	Appeal Communication to Board of Appeals and Interferences			
Amendment / Rep	oly	Petition	1	Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)			
After Final			n to Convert to a onal Application	Proprietary Information			
Affidavits/decl	aration(s)		of Attorney, Revocation e of Correspondence Address	Status Letter			
Extension of Time Request		Termin	al Disclaimer	Other Enclosure(s) (please identify below):			
Express Abandonment Request			st for Refund umber of CD(s)	Return card			
Information Disclosure Statement							
Certified Copy of Priority Document(s)		Rema	Remarks				
Response to Missing Parts/ Via		Via Express Mail No. EV 513561590 US sent August 16, 2004					
Response to Missing Parts under 37 CFR 1.52 or 1.53		EV513561590US)					
	SIGNA.	TURE OF A	APPLICANT, ATTORNEY, C	R AGENT			
Firm <i>or</i> Individual name	Feng Shao Registration	on No. 54,733					
Signature	700000						
Date	Date August 16, 2004						
	C	ERTIFICAT	TE OF TRANSMISSION/MAI	LING			
	t postage as first o	lass mail in		or deposited with the United States Postal commissioner for Patents, P.O. Box 1450,			
Typed or printed name	9						
Signature			Date				
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FEE	TRANSMIT	TAL
1	for FY 2004	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

Complete if Known					
Application Number	10/612,650				
Filing Date	July 2, 2003				
First Named Inventor	Sreenivasulu Megati				
Examiner Name	Zinna Northington Davis				
Art Unit	1625				
Attorney Docket No.	WYTH0015-100/139737 (AM100961)				

TOTAL AMOUNT OF PAYMENT (\$) 0	Attorney Docket No.   WYTH0015-100/139737 (AM100961)						
METHOD OF PAYMENT (check all that apply)					FEE C	ALCULATION (continued)	
Chack Coditioned Change Cother Change		3. AD	DITION	VAL FE			
☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None Order			Large Entity Small Entity				
Deposit Account:		Fee Code	Fee	Fee	Fee	Fee Description	Fee Paid
Deposit Account 50-1275		1051	(\$) 130	Code 2051	(\$) 65	Surcharge - late filing fee or oath	
Number		1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Deposit Account Cozen O'Connor			130	1053	130	Non-English specification	
			2,520	1812	2,520	For filing a request for reexamination	
Name The Director is authorized to: (check all that apply)	[	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
<ul> <li>☑ Charge fee(s) indicated below</li> <li>☑ Credit any overpayments</li> <li>☑ Charge any additional fee(s) during the pendency of this application</li> </ul>			1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee		1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account.  FEE CALCULATION		1252	420	2252	210	Extension for reply within second month	
1. BASIC FILING FEE		1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity Fee Fee Fee Fee Description		1254	1,480	2254	740	Extension for reply within fourth month	
	e Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
1001 770 2001 385 Utility filing fee		1401	330	2401	165	Notice of Appeal	
1002 340 2002 170 Design filing fee		1402	330	2402	165	Filing a brief in support of an appeal	
1003 530 2003 265 Plant filing fee		1403	290	2403	145	Request for oral hearing	
1004 770 2004 385 Reissue filing fee 1005 160 2005 80 Provisional filling fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding	
		1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$)	0	1453	1,330	2453	665	Petition to revive – unintentional	
2 EVIDA CLAIM EEES EOD LITH ITV AND DEISSUE		1501	1,330	2501	665	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from	<b>5</b>	1502	480	2502	240	Design issue fee	
Claims below	Paid	1503	640	2503	320	Plant issue fee	
Total Claims20 ** = 0 X = [		1460	130	1460	130	Petitions to the Commissioner	
Independent Claims -3 ** = 0 X =	0	1807 1806	50 180	1807 1806	50 180	Processing fee under 37 CFR 1.17 (q) Submission of Information Disclosure	
Multiple X =	0					Stmt Recording each patent assignment	
Large Entity Small Entity	i	8021	40	8021	40	per property (times number of properties)	
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)		1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1202 18 2202 9 Claims in excess of 20		1810	770	2810	385	For each additional invention to be	
1201 86 2201 43 Independent claims in exce 1203 290 2203 145 Multiple dependent claim, if						examined (37 CFR § 1.129(b))	
** Paissus independent cla	ims over	1801	770	2801	385	Request for Continued Examination (RCE)	
original patent		1802 900		1802 900		Request for expedited examination of a design application	
1205 18 2205 9 ** Reissue claims in excess over original patent		Other fee (specify)					
SUBTOTAL (2) (\$) 0		Outet 16	e (shecii	,,			
(4)	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0						
**or number previously paid, if greater, For Reissues, see above			_				

SUBMITTED BY Complete (if applicable)						
Name (Print/Type)	Feng Shao	Registration No. (Attorney/Agent)	54,733	Telephone	215 665 2000	
Signature	1	~~~		Date	August 16, 2004	

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8-18-04

AUG 1 6 2004 W

**DOCKET NO.: WYTH0015-100 (AM100961)** 

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Sreenivasulu Megati, et al.

**Zinna Northington Davis** 

Serial No.: 10/612,650

Group Art Unit: 1625

Filed: July 2, 2003

Confirmation No.: 5472

**Date: August 16, 2004** 

For: PREPARATION OF 6-HYDROXYEQUILENINS

Mail Stop:

Express Mail No.: EV 513561590 US

Commissioner of Patents

P.O. Box 1450

Alexandria, VA 22313-1450

## RESPONSE TO RESTRICTION REQUIREMENT

This paper is filed in response to the Office Action dated July 22, 2004, in connection with the above captioned patent application.

The Examiner has required restriction to one of the following Groups:

- I. Claims 1-11, drawn to a process for preparing a chemical compound;
- II. Claim 12, drawn to a process for preparing a chemical compound; and
- III. Claims 13-18, drawn to intermediate compounds.

Solely to satisfy 37 CFR 1.143, Applicants hereby provisionally elect, with traverse, Group I, Claims 1-11 as described above.

Nevertheless, Applicants respectfully traverse the restriction requirement for the reasons provided below.

There are two criteria for a proper restriction requirement: a) the inventions (e.g., proposed groupings) must be independent or distinct as claimed, and b) there must be a

**DOCKET NO.: WYTH0015-100 (AM100961)** 

**PATENT** 

serious burden on the Office. If at least one of the two criteria is not present, restriction is improper.

With respect, the Office has not shown that the second requirement has been met in making the restriction.

1) Restriction between Groups I and II.

Claim 12, the only claim of Group II, corresponds to steps (f) and (g) of Claim 5, which is in Group I. In addition, the end product of Claim 12 is the same as that of Claim 5. Therefore, a search of the invention of Group I, which includes Claim 5, will inevitably require searching exactly the same art as the invention of Claim 12. Accordingly, there is no serious burden (if any at all) to examine Groups I and II together.

2) Restriction between Groups (I-II) and III.

Further, it is believed that the intermediate compounds in Group III are closely related to the end products of the processes of Groups I-II. Thus, the examination of Group I will therefore inevitably require searching the subject matter of Group III. Accordingly, it is believed that examining the subject matter of Groups I-III would not pose a serious burden. In addition, examining Groups I-III together will result in economies to the Patent Office as well as the Applicant.

Because there will not be a serious burden on the Office to examine the entirety of the application, Applicants respectfully request that the restriction requirement be reconsidered, and that Groups I, II and III be examined in the present application.

Respectfully submitted,

Date: August 16, 2004

Feng Shao

Registration No. 54,733

**COZEN O'CONNOR** 

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